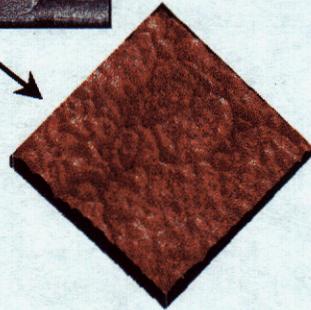
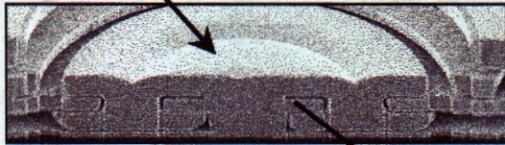


# High Fidelity Friction Models for MEMS



Microengine hub  
cross section



## Problem Description:

A comprehensive and validated model for MEMS-level friction in polysilicon micromachines is needed to enable the accurate analysis of DP MEMS components with moving parts and to assure predictable behavior under STS conditions.

## Technical Approach:

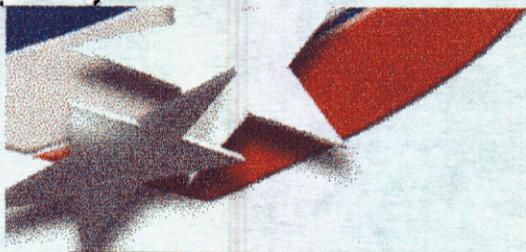
Measure friction on the asperity, MEMS, and wafer-scale over a broad range of pressure and velocities to guide and validate the development of a MEMS-scale friction model. Perform simulations that explicitly model asperity interactions using increasingly realistic geometric, material, and cross-gap surface forces, as well as develop a complementary homogenized, multi-scale interface model for grid-scale calculations.

## Applications:

- DP MEMS components with moving parts such as microengines, gear trains, pop-up mirrors

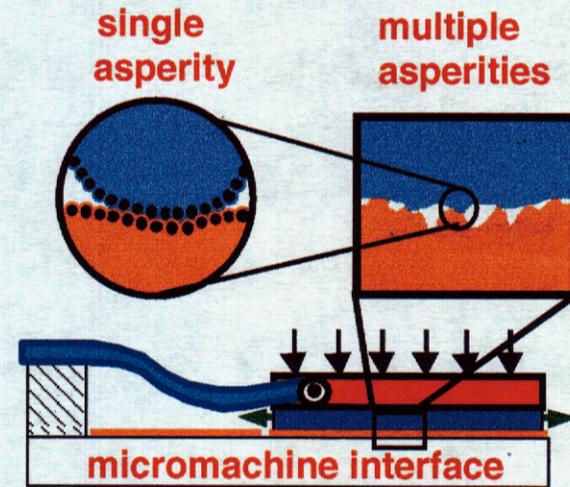
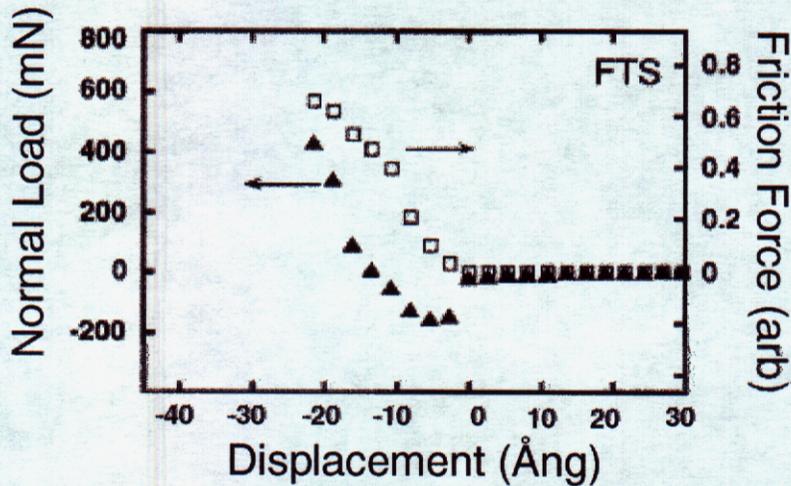
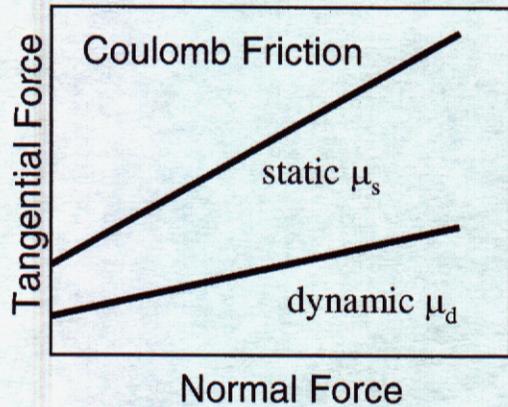
**Funds:** FY02 \$400K, FY03 \$428K, FY04 \$455K

**Team Members:** B. R. Antoun, 8725  
R. W. Carpick, U. of Wisc.  
M. P. de Boer, 1762  
R. E. Jones, 8726  
O. B. Ozdoganlar 1924  
E. D. Reedy, 1923



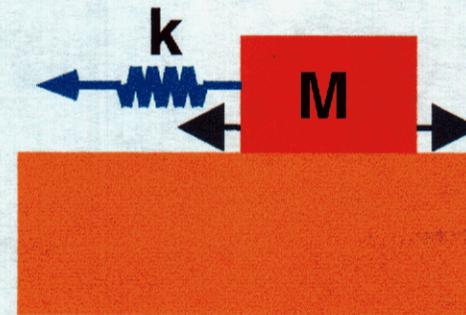
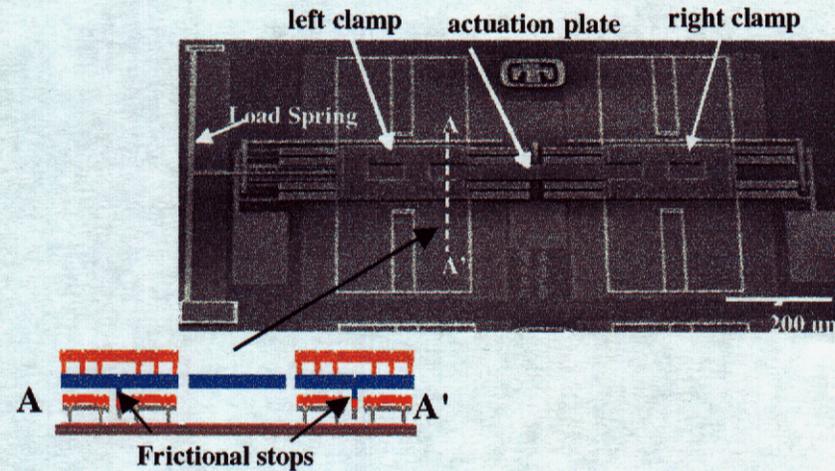
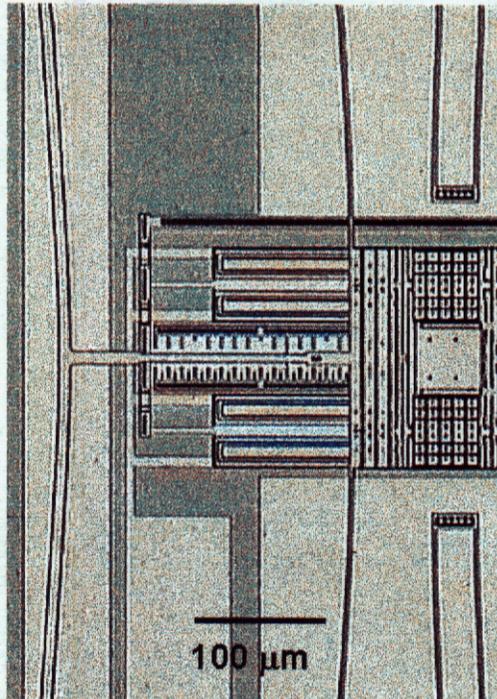
# Motivation

**MEMS scale friction falls between macro and single asperity models.**



- Number of contacting asperities on the order of ~ tens or lower, suggesting expansion of single asperity model.
- Noncontacting surface effects may play an important role in frictional dissipation in microsystems as a consequence of the low real to apparent contact area ratio ( $A_r/A_o$ )

# MEMS-scale friction test with inchworm actuator



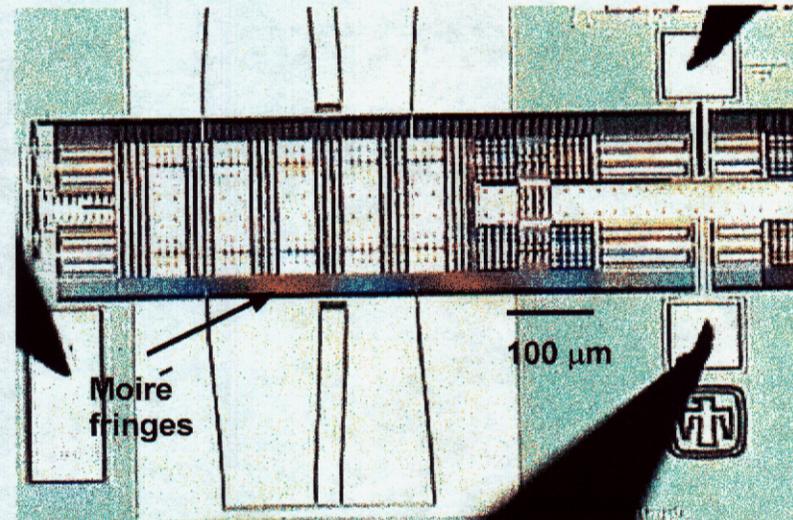
- Friction forces are purely normal and purely tangential.
- Pressure is uniform.
- First "clean" MEMS friction test

- (1) Walk inchworm out against loading spring
- (2) Release voltages except on leading clamp
- (3) Lower voltage on clamp until slip

# Milestone 1: Moiré Metrology

## Progress

- First implementation of digital Moiré in MEMS
- Important to friction forces to <10% accuracy
- Without Moiré, uncertainty up to 100%
- Moiré magnifies in-plane displacement by 20 to 100X  
50 nm in-plane resolution
- Automation implemented for real time displacement readout

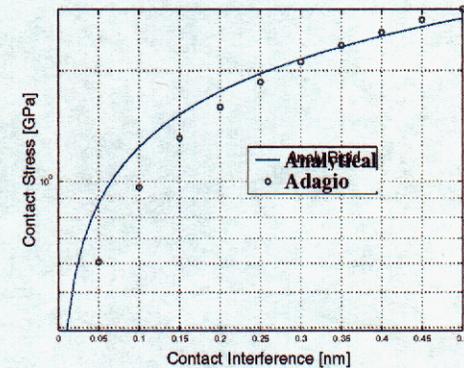
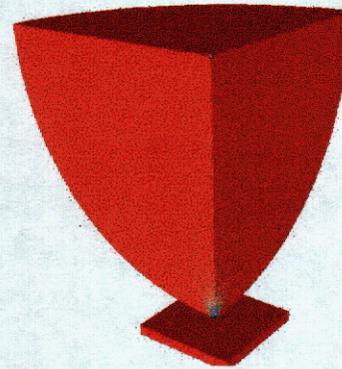


Movie – inchworm3b

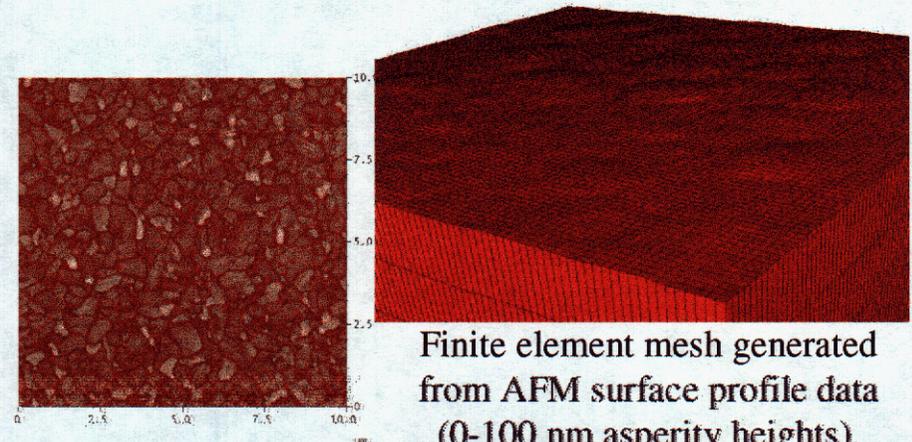
## Milestone 2: Preliminary Interface Model

### Progress

- Adagio and Presto simulations of two interacting asperities.
- Developing procedure uses CUBIT to create finite element models from AFM topography measurements to enable the simulation of actual polysilicon surfaces with multiple asperity interactions.
- Began implementation of adhesion model in PRESTO/ACME.
- Began development of multi-scale finite element interface model for grid-scale calculations.



ADAGIO simulation of Hertzian contact.



Finite element mesh generated from AFM surface profile data (0-100 nm asperity heights).

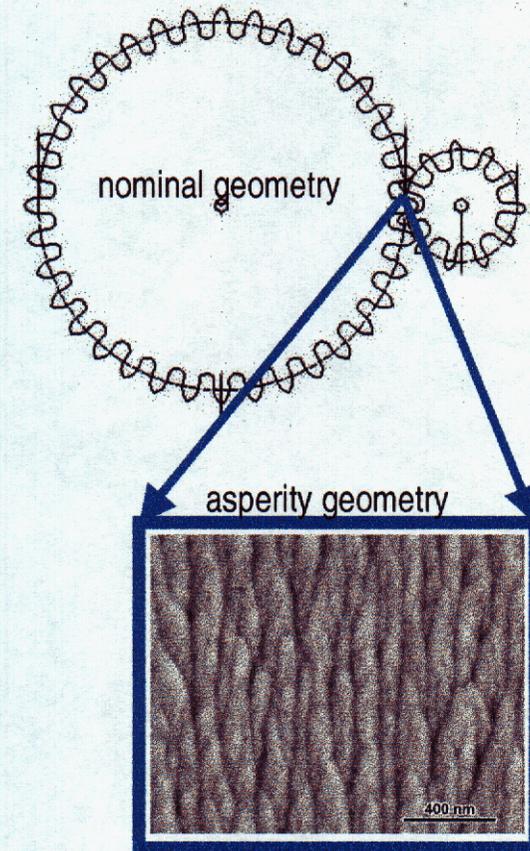
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## Multi-scale Contact Model

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- To directly model surface details is prohibitively expensive for system-sized simulation of MEMS components.
- Consequently, a homogenized model, based on Greenwood-Williamson (1966), is being developed in the SIERRA framework.
- It employs only a mesh of the nominal geometry which is coupled to an analytical micro-mechanical model of the actual contacting surfaces for accuracy and efficiency.
- Will compare homogenized model predictions with finite element solutions for actual polysilicon surfaces.



## Milestone 3: Quantify PV Space

Table I – PV space comparison of friction instruments

Note: each instrument measures static friction

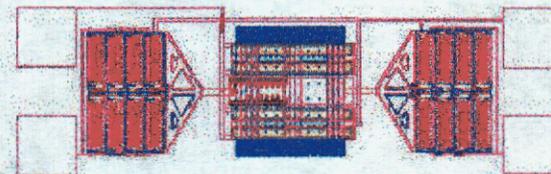
	AFM	Inchworm (friction) (mode)	Comb drive	Torsion- Master
$P_n$ range (MPa)	0.1 - 500	0.01 to 50	0.01 to 50	.05 to 50
V range ( $\mu\text{m}/\text{sec}$ )	0.001-30	$\sim 10^6$	0 to $10^6$	100 to 50,000

$P_n$  – normal pressure

V – velocity

- Pressure range exhibits good overlap
- Velocity range exhibits reasonable overlap

Comb drive actuator with same friction sensor has also been designed:

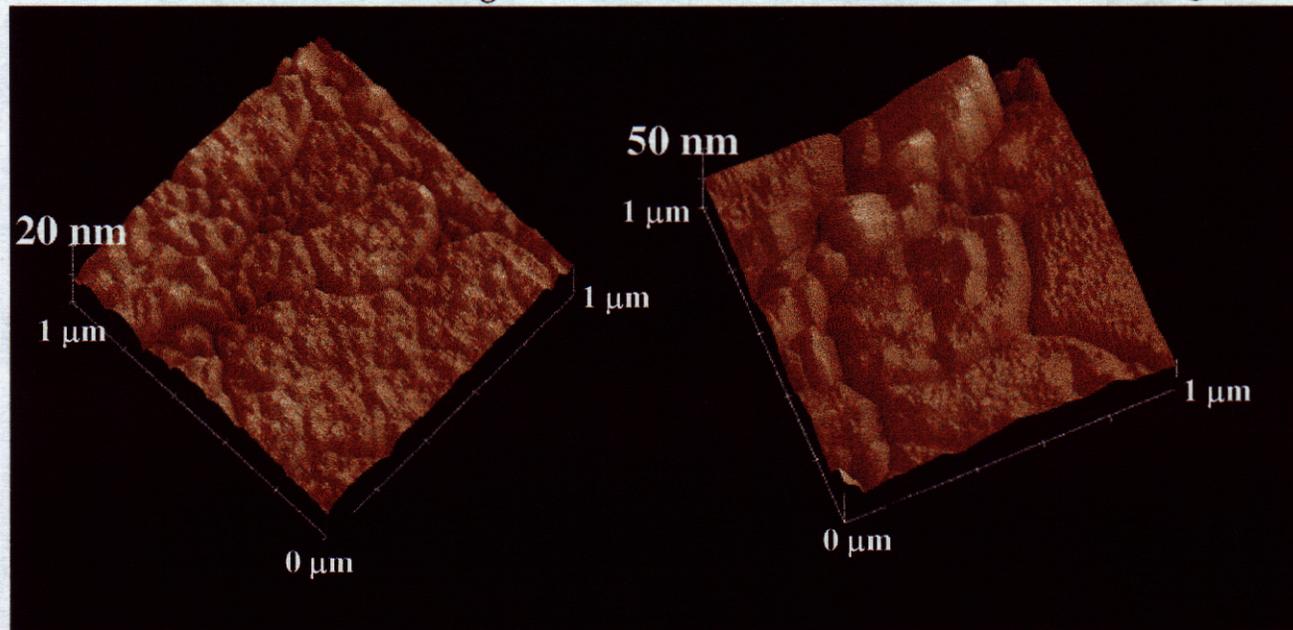


comb drive    friction sensor    comb drive

## Milestone 4: Evaluate Asperity Geometry

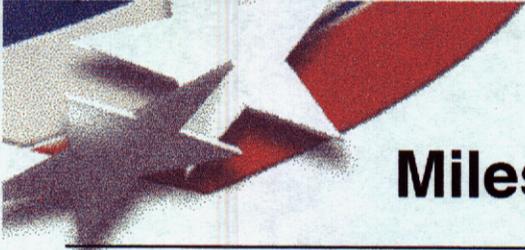
No oxidation: 2.7 nm rms roughness

600 Å oxidation : 11.3 nm rms roughness)



### Progress

- surface topography quantified by AFM
- single asperity friction law measurements to begin in summer '02
- Robert Carpick, Univ. Wisconsin



## Milestone 5: Assemble Test Chamber

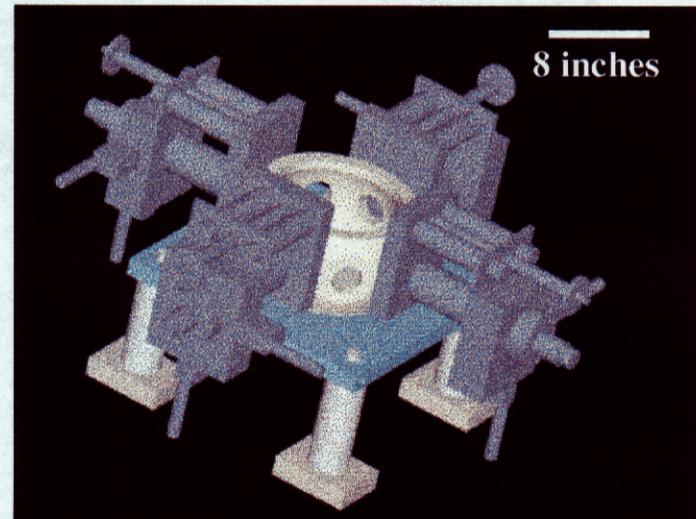
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- UHV vacuum chamber for MEMS-scale friction tests
- To be used with a long working distance interferometer

Need for FY03 dynamic friction measurements (eliminates air damping)

### Progress

- Chamber parts received
- Construction underway



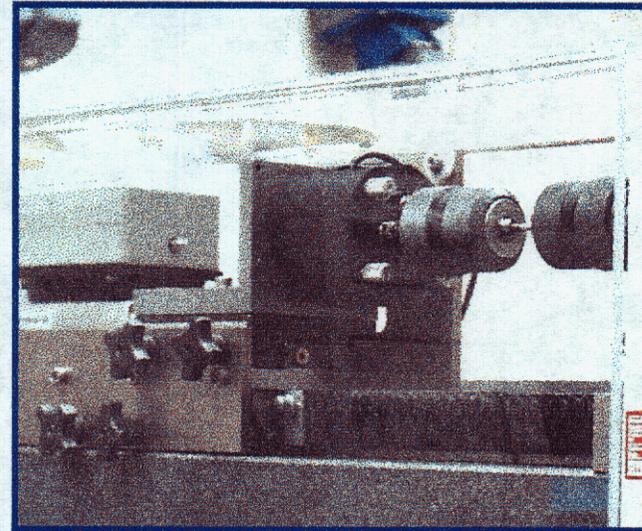


## Milestone 6: Evaluate Friction of Polysilicon Samples

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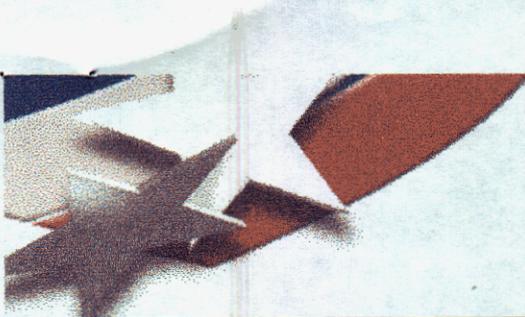
### Progress

- Sample fabrication complete:  
Vary size (5 x 5 mm and 10x10 mm)  
and roughness
- Initial Torsion-Master setup  
complete
- Testing to begin in June (FY02)

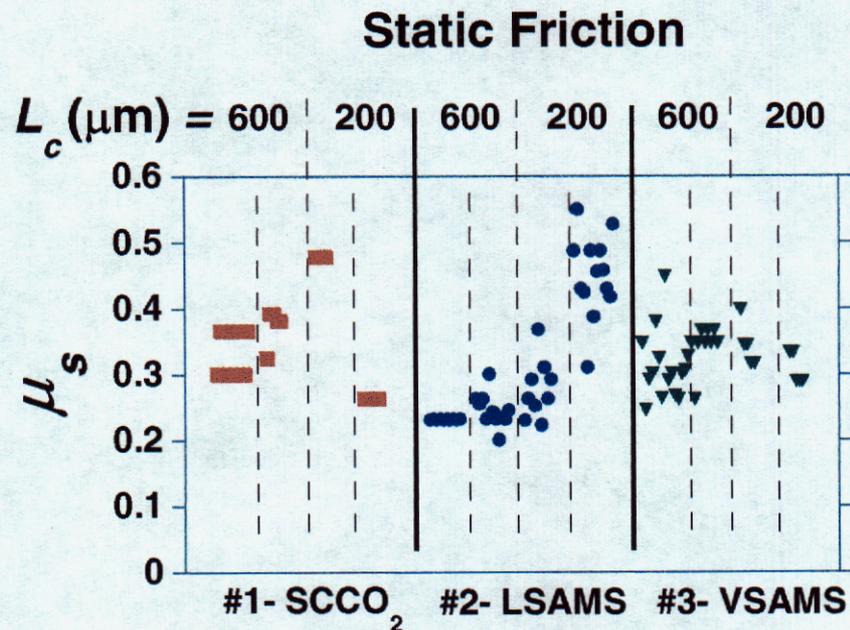


### • TorsionMaster Testing system

- Rotation rate up to 120 rpm
- Torque resolution is better than  $100 \mu\text{N}\cdot\text{m}$
- Angular resolution of  $0.005^\circ$
- Continuous rotations
- Axial preloading



## Preliminary MEMS inchworm friction results



- Reasonable  $\mu_s$  values
- No effect of coating observed (unexpected)
- Will examine effect of coatings more *carefully* in FY04